



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: J. Christopher Flaherty, et al.
Serial No: 10/662,761
Filing Date: September 15, 2003
Title: Plunger Assembly For Patient Infusion Device
Docket No: 59249-0157 (INSL-125DV)

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: Weiguo Chen
11/12/03

Weiguo Chen
Weiguo Chen

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Applicant encloses herewith for filing in connection with the above-referenced patent application the following documents:

- 1) Information Disclosure Statement;
- 2) Form 1449 (without cited references); and
- 3) Acknowledgment Postcard.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Date: 11-11-03

Respectfully submitted,

Jeffrey J. Miller

Jeffrey J. Miller
Registration Number 39,773
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PATENT

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WEYNE CHEN

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Commissioner for Patents
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Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §1.56, §1.97 and §1.98, Applicants hereby submit an information disclosure statement with information cited on the attached PTO-1449 forms in EXHIBIT A and EXHIBIT B. The references listed in the PTO-1449 forms in EXHIBIT A were previously submitted to, or cited by, the Commissioner for Patents in a parent application of this patent application, which has U.S. Patent Serial No. 10/163,690, filed on June 6, 2002, and from which this application claims priority under 35 U.S.C. 120. Copies of these references can be found in U.S. Patent Serial No. 10/163,690, and under 37 C.F.R. §1.98(d), copies of these references are not submitted with this Statement. The reference listed in the PTO-1449 form in EXHIBIT B is a U.S. patent, and according to the United States Patent and Trademark Office OG Notices: 05 August 2003, copy of the reference is waived and not submitted with this Statement.

This Statement is being filed within three months after the filing date of the application.

This statement is not to be interpreted as a representation that the cited publications are

material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

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Subst. Form PTO-1449				Docket Number (Optional) 59249-0157 (INSL-125DV)	Application Number 10/662,761
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				Applicant Flaherty, et al	
(Use several sheets if necessary)				Filing Date 9/15/2003	Group Art Unit

U. S. Patent Documents

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,067,000	1/3/78	Carlson			
		4,151,845	5/1/79	Clemens			
		4,211,998	7/8/80	Junginger et al.			
		4,231,019	10/28/80	Junginger et al.			
		4,364,385	12/21/82	Lossef			
		4,373,527	2/15/83	Fischell			
		4,424,720	1/10/84	Bucchianeri			
		4,435,173	3/6/84	Siposs et al			
		4,469,481	9/4/84	Kobayashi			
		4,475,901	10/9/84	Kraegen et al.			
		4,498,843	2/12/85	Schneider et al			
		4,514,732	4/30/85	Hayes Jr.			
		4,529,401	7/16/85	Leslie et al.			
		4,559,033	12/17/85	Stephen et al.			
		4,559,037	12/17/85	Franetzki et al.			
		4,560,979	12/24/85	Roskopl			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	EP0867196	13.03.98	Europe				
	0342947	17.05.89	Europe				
	WO81/01658	25.06.81	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Web-Site Brochure dated 1/4/00. MiniMed 508. "Doing its job. Naturally." www.minimed.com/tiles/mm_113.htm
		Web-Site Brochure dated 12/20/99. Applied Medical Technology. "508 Pump Information". www.applied-medical.co.uk/508.htm
		Web-Site Brochure dated 1/4/00. "The Glucose Sensor". www.animascorp.com/sensor_f.html

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

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U. S. Patent Documents

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,562,751	1/7/86	Nason et al.			
		4,585,439	4/29/86	Michel			
		4,601,707	7/22/86	Albisser et al.			
		4,634,427	1/6/87	Hannula et al.			
		4,678,408	7/7/87	Nason et al.			
		4,684,368	8/4/87	Kenyon			
		4,685,903	8/11/87	Cable et al.			
		4,781,693	1/1/88	Martinez et al.			
		4,855,746	8/8/89	Stacy			
		4,886,499	12/12/89	Cirelli et al.			
		4,969,874	11/13/90	Michel et al.			
		5,007,458	4/16/91	Marcus et al.			
		5,062,841	11/5/91	Siegel			
		5,176,662	1/5/93	Bartholomew et al.			
		5,213,483	5/25/93	Flaherty et al.			
		5,257,980	11/2/93	Van Antwerp et al.			
		5,254,096	10/19/93	Rondelet et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO98/01071	15.01.98	WIPO				
	WO86/06796	20.11.86	WIPO				
	WO99/62576	09/12/99	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Web-Site Brochure dated 12/20/99. "The Animas R-1000 Insulin Pump". www.animascorp.com/pump_f_s.html
	Web-Site Brochure dated 12/20/99. "The Animas R-1000 Insulin Pump". www.animascorp.com/pump_f_f.html
	Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/intro2.htm

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U. S. Patent Documents							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,244,463	9/14/93	Cordner, Jr. et al			
		5,242,406	9/7/93	Gross et al			
		5,643,213	7/1/97	McPhee			
		5,630,710	5/20/97	Tune et al.			
		5,545,152	8/13/96	Funderburk et al			
		5,533,389	7/9/96	Kamen et al.			
		5,505,709	4/9/96	Funderburk et al.			
		5,492,534	2/20/96	Athayde et al.			
		5,411,480	5/2/95	Kriesel			
		5,318,540	6/7/94	Athayde et al.			
		5,312,337	5/17/94	Flaherty et al.			
		405,524	2/9/99	Falk et al.			
		5,702,363	12/30/97	Flaherty			
		5,695,490	12/9/97	Flaherty et al.			
		5,665,065	9/9/97	Colman et al.			
FOREIGN PATENT DOCUMENTS							
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						YES	NO
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		Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product2.htm					
		Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product3.htm					
		Web-Site Brochure dated 1/4/00. SOOIL-Homepage. "Portable Insulin Pump". www.sooil.com/product4.htm					
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Subst. PTO-1449 (1-94)

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,647,853	7/15/97	Feldmann et al.			
		5,755,682	5/26/98	Knudson et al.			
		6,071,292	6/6/00	Makower et al.			
		6,019,747	2/1/00	McPhee			
		5,997,501	12/7/99	Gross et al.			
		5,957,890	9/28/99	Mann et al.			
		5,954,699	12/21/99	Jost et al.			
		5,954,058	12/21/99	Flaherty			
		5,11,716	6/15/99	Rake et al.			
		5,906,597	5/25/99	McPhee			
		5,886,647	3/23/99	Badger et al.			
		5,848,991	12/15/98	Gross et al.			
		5,840,063	11/24/98	Flaherty			
		5,814,020	9/29/98	Gross			
		5,810,015	9/22/98	Flaherty			
FOREIGN PATENT DOCUMENTS							
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		5,800,405	9/1/98	McPhee				
		5,785,688	7/28/98	Joshi et al.				
		5,785,681	7/28/98	Indravudh				
		5,779,676	7/14/98	Kriesel et al.				
		5,776,103	7/7/98	Kriesel et al.				
		5,748,827	5/5/98	Holl et al.				
		5,741,228	4/21/98	Lambrecht et al.				
		5,726,751	3/10/98	Altendorf et al.				
		5,726,404	3/10/98	Brody				
		5,704,520	1/6/98	Gross				
		5,845,218	12/1/98	Altschul				
		6,061,580	5/9/00	Altschul et al.				
		5,983,094	11/9/99	Altschul et al.				
		5,965,848	10/12/99	Altschul et al.				
		5,875,393	2/23/99	Altschul et al.				
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		6,144,847	11/7/00	Altschul et al.			
		4,268,150	5/19/81	Chen			
		311,735	10/30/90	Aran et al.			
		306,691	3/20/90	Arai			
		5,576,781	11/19/96	Deleewu			
		5,452,033	12/19/95	Balling et al.			
		5,239,326	8/24/93	Takai			
		5,045,871	9/3/91	Reinholdson			
		4,973,998	11/27/90	Gates			
		4,882,600	11/21/89	Van de Moere			
		4,801,957	1/31/89	Vandemoere			
		315,727	3/26/91	Arai et al.			
		303,013	8/22/89	Konopka			
		4,624,661	11/25/86	Arimond			
		4,108,177	8/22/78	Pistor			
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
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		4,624,661	11/25/86	Arimond				
		4,755,173	7/5/88	Konopka et al.				
		4,898,579	2/6/90	Groshong et al.				
		5,109,850	5/5/92	Blanco et al.				
		5,205,819	4/27/93	Ross et al.				
		5,244,463	9/14/93	Cordner, Jr. et al.				
		5,433,710	7/18/95	Van Antwerp et al.				
		5,584,813	12/17/96	Livingston et al.				
		5,637,095	6/10/97	Nason et al.				
		5,660,728	8/26/97	Saaski et al.				
		5,839,467	11/24/98	Saaski et al.				
		5,851,197	12/22/98	Marano et al.				
		5,935,099	8/10/99	Peterson et al.				
FOREIGN PATENT DOCUMENTS								
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		5,232,439	8/3/93	Campbell et al.			
		5,281,202	1/25/94	Weber et al.			
		5,342,313	8/30/94	Campbell et al.			
		5,514,096	5/7/96	Hiejima			
		5,575,770	11/19/96	Melsky et al.			
		5,665,70	9/9/97	McPhee			
		5,797,881	8/2/98	Got			
		5,891,097	4/69	aio et al.			
		5,897,530	4/27/99	Jackson			
		6,152,898	11/28/00	Olsen			
		5,637,095	6/10/97	Nason et al			
		5,919,167	7/6/99	Mulhauser et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO01/52727	17.01.01	WIPO				
	WO00/78210	15.06.00	WIPO				
	WO00/74752	30.05.00	WIPO				
	WO00/19887	20.09.99	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	User's Guide for Model 508 Insulin Pump, MiniMed, 8/00, 145 pages

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		4,734,092	3/29/88	Millerd			
		5,582,593	12/10/96	Hultman			
		5,747,350	5/5/98	Sattler			
		5,245,447	9/14/93	Stemmle			
		3,885,662	5/27/75	Schaefer			
		6,363,609	4/2/02	Pickren			
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO9800193	08.01.98	WIPO				
	WO99/56803	11.11.99	WIPO				
	WO0010628	02.03.00	WIPO				
	WO00/29047	25.05.00	WIPO				
	W001/5663	09.08.01	WIPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Copy of PCT International Search Report dated 03/04/2002; 5pp					
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		6,375,638	4/23/02	Nason et al			
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REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Morgan Electro Ceramics; Piezo Ceramic Products Technical Information; <i>Instruction Piezoelectric Ceramics</i> , May 16, 2001; 15 Pages					
		Solenoids Catalog - Magnetic Sensor Systems, <i>Solenoid Construction</i> , 2 pages					
		Lind et al, 2nd Tampere International Conference on Machine Automation; <i>Linear Motion Miniature Actuators</i> , September, 1998; 12 pages					
		Galante, T., et al; United Technologies Research Center, ; <i>Design, Modeling, and Performance of a High Force Piezoelectric Inchworm Motor</i> , 13 pages					
		M. Vaughan; Thesis submitted to the Faculty of the Virginia Polytechnic Institute and State University; <i>The Design, Fabrication, and Modeling of a Piezoelectric Linear Motor</i> , December 2001					
		Mavroidis, C.; Department of Mechanical and Aerospace Engineering, Rutgers University, <i>Development of Advanced Actuators Using Shape Memory Alloys and Electrorheological Fluids</i> , 70 pages					
		Park, J., et al, Mechanical and Aerospace Engineering Dept., University of California, <i>Design and Testing of a Mesoscale Actuator Device</i> , 33 Pages					
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		4,836,752	6/6/89	Burkett			
		3,631,847	1/4/72	Hobbs			
		5,993,423	11/30/99	Choi			
		5,800,397	9/1/98	Wilson			
		3,812,843	5/28/74	Wootten, et al.			
		4,808,161	2/28/89	Kamen			
		5,858,005	1/12/99	Kriesel			
		5,931,814	8/3/99	Alex, et al.			
		4,781,688	11/1/88	Thoma, et al.			
FOREIGN PATENT DOCUMENTS							
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						YES	NO
	WO01/76684	10/18/01	WIPO				
	EP0937475	8/25/99	EP				
	DE4200595	7/15/93	GERMANY				X
	WO00/29049	5/25/00	WIPO				
	WO00/61215	10/19/00	WIPO				
	WO00/48112	8/17/00	WIPO				
	WO00/30705	6/2/00	WIPO				
	EP0763369	3/19/97	EP				
	DE19920896	9/11/00	GERMANY				X
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.							

Subst. PTO-1449 (1-94)

Subst. Form PTO-1449			Docket Number (Optional) 59249-0157 (INSL-125DV)		Application Number 10/662,761		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>			Applicant Flaherty, et al				
			Filing Date 9/15/2003		Group Art Unit		
U. S. Patent Documents							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,507,288	4/16/96	Bocker et al.			
		6,485,461	11/26/02	Mason, et al.			
		6,520,936	2/18/03	Mann			
		5,858,001	1/12/99	Tsals, et al.			
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO02/20073	3/14/02	WIPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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